

**DEMAT ACCOUNT (ANNEXURE J), CUSTODY ACCOUNT AND BANK ACCOUNT OPENING FORM
(FOR INDIVIDUALS)**

Participant Name: Kotak Mahindra Bank Limited (DP ID: IN303173) Address: Kotak Infinity, Building No. 21, Infinity Park, General A K Vaidya Marg, Malad (E), Mumbai - 400 097	Client -ID (To be filled by Participant) <table border="1" style="width:100%; height: 20px; border-collapse: collapse;"> <tr> <td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td> </tr> </table>										

I/We request you to open a depository account in my/our name as per the following details: *(Please fill all the details in CAPITAL LETTERS only)*

Date	0 5 0 3 2 0 1 9
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A) Details of Account holder(s):

Account holder(s)	Sole/ First Holder	Second Holder	Third Holder	
Name	Anurag Basu	Shikha Verma		
PAN	A C L P T 1 5 2 8 E	B C T P L 7 1 3 1 B		
Occupation <i>(please tick any one and give brief details)</i>	<input type="checkbox"/> Private Sector	<input type="checkbox"/> Agriculturist	<input type="checkbox"/> Private Sector	<input type="checkbox"/> Agriculturist
	<input type="checkbox"/> Public Sector	<input type="checkbox"/> Retired	<input type="checkbox"/> Public Sector	<input type="checkbox"/> Retired
	<input type="checkbox"/> Government Service	<input type="checkbox"/> Housewife	<input type="checkbox"/> Government Service	<input type="checkbox"/> Housewife
	<input checked="" type="checkbox"/> Business	<input type="checkbox"/> Student	<input checked="" type="checkbox"/> Business	<input type="checkbox"/> Student
	<input type="checkbox"/> Professional	<input type="checkbox"/> Others (Please specify; _____)	<input type="checkbox"/> Professional	<input type="checkbox"/> Others (Please specify; _____)
Brief details:				

B) For HUF, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name & PAN of the HUF, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned below:

a) Name	Janani Trust
b) PAN	A B E T E 4 5 0 1 G

<p>C) Securities Account Type</p> <input checked="" type="checkbox"/> Ordinary Resident <input type="checkbox"/> FPI <input type="checkbox"/> NRI- Repatriable <input type="checkbox"/> NRI- Non Repatriable <input type="checkbox"/> Margin <input type="checkbox"/> Foreign National <input type="checkbox"/> Promoter <input type="checkbox"/> Others(Please specify) _____	<p>Cash Account Type (Request you to open a bank account as indicated below)</p> <input type="checkbox"/> INR (Current)
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D) Name of Securities Accounts	Name of Cash Accounts

E) Country & Date of Birth	India 4/5/1982 ; 5/4/1983
Country of Tax Residence (for Regulatory / Tax Declaration purpose)	India



- 2) To accept and sign transfer unto our name or in the name of any other person any securities, dematerialized/rematerialized balances/statements.
- 3) To apply for and accept allotments of any securities, rights, bonus issues or its equivalent in dematerialized form.
- 4) To open and operate upon such accounts as may be designated by us for the purpose of giving effect to the powers set out in these presents and/or in the Custodial Services Agreement.
- 5) To demand, recover, enforce payment of, collect, receive and give good and sufficient receipts, discharges and indemnities for and in respect of all dividends, interest, income debts, principal monies, interest and any sums due under any such investments, securities, rights and things to which we are or may be entitled whether solely or jointly with any other person or persons or corporation.
- 6) To sign and endorse all cheques, promissory notes, bills of exchange or other orders for the payment of money to which the signature or endorsement of or on our behalf may be needed or deemed expedient.
- 7) To sign, seal, execute, deliver and to do such deeds, transfer, agreements, receipts, releases, discharges, instruments, application, contracts, transfer deeds, surrenders, dividend mandates or other documents including "StockInvest" and Fixed Deposit applications, acts and things as may be necessary in relation to the powers hereby granted or any of them.
- 8) A. To issue SGL Transfer Forms on its Constituent SGL Account with the Reserve Bank of India and to debit the same to our securities account held with Kotak Bank
B. To receive SGL Transfer Forms for deposit into its Constituent SGL Account with the Reserve Bank of India and to credit the same to our securities account held with Kotak Bank
C. To submit requests to the Reserve Bank of India for surrender of securities with a view to having the same credited to its Constituent SGL Account with the Reserve Bank of India.
D. To submit requests to the Reserve Bank of India for issue of securities in the form of Government Promissory Notes or Stock Certificates by debit to its Constituent SGL Account with the Reserve Bank of India.
- 9) To perform all such acts at any time as may be deemed by our attorney to be advisable including without limitation the generality thereof: executing and delivering indemnities, guarantees, receipts and/or other documents as may be necessary or desirable.
- 10) To endorse and transfer to the said Attorney, any securities of any description, whatsoever.
- 11) To present for payment and collect the amount payable upon all securities which may mature or be called, redeemed or retired or otherwise become payable.
- 12) To surrender securities in temporary form for definitive securities.

- 13) To hold all stock dividends, rights and similar securities with respect to any securities held by it.
- 14) To deliver securities/dematerialized balances/statements in exchange for other securities or cash issued or paid in connection with the liquidations, re-organization, re-financing merger, consolidation or re-capitalization of any corporation or upon the exercise of any rights or conversion privileges.
- 15) To make such transfers or exchanges of the assets held by us.
- 16) Generally, to act on the premises as fully and effectually as we could act if personally present
- 17) To take all necessary actions, including signing of all necessary applications and other documents, for the remittance to us in US Dollars (or other convertible currency) the sale proceeds of any shares, bonds and securities sold by us or sold on our behalf.
- 18) To apply for and carry out the necessary procedures for receiving tax exemption under the relative provisions of the income-tax laws, or under the Rules, notifications or order made thereunder, or under the relevant Avoidance of Double Taxation Treaty, if any.
- 19) To receive and transmit to us notices of Shareholders' meetings, dividend notices and any other related information.
- 20) To attend and vote or appoint any person to attend and vote as our proxy at any meetings of the company and/or its creditors and to effect, sanction or oppose any exercise or modification of rights relating to the said investments or any of them, in accordance with our instructions.
- 21) To make applications to any regulatory agencies in India for purchase or acquisition in any other manner or for sale or transfer of any securities or for such purpose as the attorney may consider appropriate, and to act, appear in any legal proceedings for or against us and to retain any lawyers, though not bound to do so.
- 22) To appoint a substitute/s and remove him at any time as the Attorney may consider appropriate.
- 23) To delegate to such person/body corporate to be appointed Constituted Attorney in our name and that of our attorneys with the power to do all acts, things and deeds set out in these presents.
- 24) To concur with any other person or persons or corporations in the doing of any act or thing hereby authorized, including appointment of legal advisors, on our behalf and in our name sign and execute Vakalatnamas, Pleadings, Affidavits and appeals and to commence or defend any action in relation to the powers hereby granted as our attorneys may deem fit.
- 25) To make and file proofs of claim and generally to represent us in any liquidation, bankruptcy, or insolvency all in relation to the aforesaid investments or any of them.
- 26) To sign and execute all such agreements, instruments, papers, documents, deeds, writing, forms as may be necessary or deemed expedient by the Attorney

to comply with the Bye-Laws and Business Rules of the National Securities Depository Limited, SEBI (Depository & Participants) Regulations 1996 and all other rules, regulations of any other statutory or regulatory body or authority.

It is hereby declared that since this Power of Attorney is given to Kotak Bank which is a body corporate, the powers herein may be exercised by Kotak Bank through any of its employees.

And we hereby ratify and confirm and covenant for ourselves, our successors and assigns to ratify and confirm all and whatsoever shall be lawfully done in the premises in virtue of these presents including in such confirmation whatsoever shall be done in the between the time of revocation by any means of these presents and the time of such revocation becoming known to the said attorney and anything which shall be done after our winding up/liquidation and upon such winding up/liquidation becoming known to our attorney.

And it is hereby declared that the said Attorney in exercising the powers hereby conferred shall conform to the regulations and directions for the time being imposed on or given to the said Attorney by us, provided always that no person dealing with the said Attorney shall be concerned to see or inquire whether the said attorney is or is not acting in accordance with such regulations and directions and notwithstanding any breach of such regulations and directions committed by the said Attorney or in regard to any act, deed or instrument, the same shall, as between us and the person dealing with the said Attorney, be valid and binding on us to all intents and purposes. And it is hereby also declared that the powers to be conferred shall not be determined or effected by the fact of us acting either personally or through another on the premises.

IN WITNESS WHEREOF, our Common Seal is hereunto affixed at ,
..... on this ...th day of in the year
200...

Ahura Shikha

In the presence of _____

WITNESS: _____

The above signatures have been affixed in the presence of
_____ a Notary Public duly commissioned and practicing in
the Republic of, having his/ her office at

Notary Public

We Accept

Kotak Mahindra Bank Limited
(The Attorney)

Know Your Client (KYC) Application Form (For Non-Individuals Only)

Kotak Infinity, 6th Floor, Building No. 21, Infinity Park, Off Western Express Highway, Malad (E), Mumbai - 400 097.

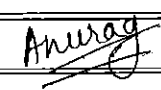
Please fill in ENGLISH and in BLOCK LETTERS with black ink

Application No. :

A. Identity Details (Please see guidelines overleaf)		PHOTOGRAPH Please affix the recent passport size photograph and sign across it
1. Name of the Applicant (Please write complete name as per Certificate of Incorporation / Registration; leaving one box blank between 2 words. Please do not abbreviate the Name).		
2. Date of Incorporation <u>01/04/1983</u> Place of Incorporation <u>Mumbai</u>		
3. Registration No. (e.g.) CIN		
Date of commencement of business <u>/ /</u> 4. Status Please tick (✓) <input type="checkbox"/> Private Limited Co. <input type="checkbox"/> Public Ltd. Co. <input type="checkbox"/> Body Corporate <input type="checkbox"/> Partnership <input checked="" type="checkbox"/> Trust <input type="checkbox"/> Charities <input type="checkbox"/> NGO's <input type="checkbox"/> FI <input type="checkbox"/> FII <input type="checkbox"/> HUF <input type="checkbox"/> AOP <input type="checkbox"/> Bank <input type="checkbox"/> Government Body <input type="checkbox"/> Non- Government Organisation <input type="checkbox"/> Defence Establishment <input type="checkbox"/> Body of Individuals <input type="checkbox"/> Society <input type="checkbox"/> LLP <input type="checkbox"/> Others (please specify _____)		
5. Permanent Account Number (PAN) (MANDATORY) _____ (Please enclose a duly attested copy of PAN Card)		

B. Address Details (Please see guidelines overleaf)	
1. Address for Correspondence:	
<u>4th Floor Mittal Chambers</u> <u>Sayani Rd</u> City/Town/Village <u>Mumbai</u> Pin Code <u>400056</u> State <u>Maharashtra</u> Country <u>India</u>	
2. Contact Details	
Tel.(Off.) _____	Tel.(Res.) _____
Mobile <u>9867543210</u>	Fax _____
E-mail Id. <u>Tanani@gmail.com</u>	
3. Proof of address to be provided by Applicant. Please submit ANY ONE of the following valid documents & tick (✓) against the document attached. <input type="checkbox"/> *Latest Telephone Bill (only Land Line) <input type="checkbox"/> *Latest Electricity Bill <input type="checkbox"/> *Latest Bank Account Statement <input type="checkbox"/> Registered Lease / Sale Agreement of Office Premises <input type="checkbox"/> Any other proof of address document (as listed overleaf) (please specify) _____ *Not more than 3 months old. Validity / Expiry date of proof of address submitted <u>/ /</u>	
4. Registered Address (if different from above)	
_____ _____ City/Town/Village _____ Pin Code _____ State _____ Country _____	
5. Proof of address to be provided by Applicant. Please submit ANY ONE of the following valid documents & tick (✓) against the document attached. <input type="checkbox"/> *Latest Telephone Bill (only Land Line) <input type="checkbox"/> *Latest Electricity Bill <input type="checkbox"/> *Latest Bank Account Statement <input type="checkbox"/> Registered Lease / Sale Agreement of Office Premises <input type="checkbox"/> Any other proof of address document (as listed overleaf) (please specify) _____ *Not more than 3 months old. Validity / Expiry date of proof of address submitted <u>/ /</u>	

C. New Other Details (Please see guidelines overleaf)	
1. Gross Annual Income Details Please tick (✓) <input type="checkbox"/> Below ₹ 1 Lac <input type="checkbox"/> ₹ 1-5 Lac <input type="checkbox"/> ₹ 5-10 Lac <input type="checkbox"/> ₹ 10-25 Lac <input type="checkbox"/> ₹ 25 Lac <input type="checkbox"/> ₹ 25 Lacs - ₹ 1 Crore <input checked="" type="checkbox"/> > ₹ 1 Crore	
2. Net-worth in ₹ <u>> 1 crore</u> as on (date) <u>31/03/2018</u>	
3. Name, PAN, DIN/UID, residential address and photographs of Promoters/Partners/Karta/Trustees/whole time directors: (Please use the Annexure to fill in the details)	
4. Is the entity involved/providing any of the following services <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO - For Foreign Exchange / Money Changer Services <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO - Gaming / Gambling / Lottery Services (e.g. casinos, betting syndicates) <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO - Money Lending / Pawning <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO	
5. Any other information: _____	

DECLARATION I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/We undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/We are aware that I/We may be held liable for it. Place: _____ Date: _____	NAME & SIGNATURE(S) OF AUTHORISED PERSON(S) 
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FOR OFFICE USE ONLY	
<input type="checkbox"/> (Original Verified) Self Certified Document copies received <input type="checkbox"/> (Attested) True copies of documents received	
For Kotak Mahindra Bank Ltd. (with Bank Seal / Stamp)	
Employee Name _____	Date _____
Employee Designation _____	Employee Code _____ Employee Signature _____

INSTRUCTIONS/CHECK LIST FOR FILLING KYC FORM

A. IMPORTANT POINTS:

1. Self attested copy of PAN card is mandatory for all clients, including Promoters/Partners/Karta/Trustees and whole time directors and persons authorized to deal in securities on behalf of company/firm/others.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIO Card/OCI Card and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/military officers, senior executives of state owned corporations, important political party officials, etc.

B. PROOF OF IDENTITY (POI): - List of documents admissible as Proof of Identity:

1. Unique Identification Number (UID) (Aadhaar) / Passport / Voter ID Card / Driving License
2. PAN card with photograph.
3. Identity card / document with applicant's Photo, Issued by any of the following: Centrals/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit Cards/Debit Cards issued by Banks.

C. PROOF OF ADDRESS (POA):- List of documents admissible as Proof of Address:

- (*Documents having an expiry date should be valid on the date of submission)
1. Passport/Voters Identity Card/Ration Card/Registered Lease or Sale

Agreement of Residence/Driving License/Flat Maintenance bill/ Insurance Copy.

2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.
3. Bank Account Statement/Passbook - Note more than 3 months old.
4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co-operative Bank/Multinational Foreign Banks/Gazetted Officer/Notary public/Elected representatives to the Legislative Assembly/ Parliament/Documents issued by any Govt. or Statutory Authority.
6. Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
7. For FI/sub account, Power of Attorney given by FI/sub-account to the Custodians (which are duly notarized and/or apostilled or consularised) that gives the registered address should be taken.
8. The proof of address in the name of the spouse may be accepted.

D. EXEMPTIONS/CLARIFICATIONS TO PAN (*Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of Central Government and/ or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
3. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
4. SIP of Mutual Funds upto Rs. 50,000/- p.a.
5. In case of institutional clients, namely, FIs, MFs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

E. LIST OF PEOPLE AUTHORIZED TO ATTEST THE DOCUMENTS:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/ Co-operative Bank or Multinational Foreign Banks (Name, Designation * Seal should be affixed of the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy/Consulate General in the country where the client resides are permitted to attest the documents.

F. In case on Non-Individuals, additional documents to be obtained from non-individuals, over & above the POI & POA, as mentioned below:

Types of entity	Documentary requirements
Corporate	<ul style="list-style-type: none"> • Copy of the balance sheets for the last 2 financial years (to be submitted every year). • Copy of latest share holding pattern including list of all those holding control, either directly or indirectly, in the company in terms of SEBI takeover Regulations, duly certified by the company secretary/Whole time director/MD (to be submitted every year). • Photograph, POI, POA, PAN and DIN numbers of whole time directors/two directors in charge of day to day operations. • Photograph, POI, POA, PAN of individual promoters holding control - either directly or indirectly. • Copies of the Memorandum and Articles of Association and certificate of incorporation. • Copy of the Board Resolution for investment in securities market. • Authorised signatories list with specimen signatures.
Partnership firm	<ul style="list-style-type: none"> • Copy of the balance sheets for the last 2 financial years (to be submitted every year). • Certificate of registration (for registered partnership firms only). • Copy of partnership deed. • Authorised signatories list with specimen signatures. • Photograph, POI, POA, PAN of Partners.
Trust	<ul style="list-style-type: none"> • Copy of the balance sheets for the last 2 financial years (to be submitted every year). • Certificate of registration (for registered trust only). • Copy of trust deed. • List of trustees certified by managing trustees/CA. • Photograph, POI, POA, PAN of Trustees.
HUF	<ul style="list-style-type: none"> • PAN of HUF. • Deed of declaration of HUF/List of coparceners. • Bank pass-book/bank statement in the name of HUF. • Photograph, POI, POA, PAN of Karta.
Unincorporated Association or a body of individuals	<ul style="list-style-type: none"> • Proof of Existence/Constitution document. • Resolution of the managing body & Power of Attorney granted to transact business on its behalf. • Authorised signatories list with specimen signatures.
Bank/Institutional Investors	<ul style="list-style-type: none"> • Copy of the constitution/registration or annual report/balance sheet for the last 2 financial years. • Authorised signatories list with specimen signatures.
Foreign Institutional Investors (FII)	<ul style="list-style-type: none"> • Copy of SEBI registration certificate. • Authorised signatories list with specimen signatures.
Army/Government Bodies	<ul style="list-style-type: none"> • Self-certification on letterhead. • Authorised signatories list with specimen signatures.
Registered Society	<ul style="list-style-type: none"> • Copy of Registration Certificate under Societies Registration Act. • List of Managing Committee members. • Committee resolution for persons authorized to act as authorized signatories with specimen signatures. • True copy of Society Rules and Bye Laws certified by the Chairman/Secretary.

Please Submit the KYC Documents on A4 Size Paper Only.

Details of Promoters/Partners/Karta/Trustees and whole time directors forming a part of Know Your Client (KYC) Application Form for Non-Individuals

Name of Applicant Janani Trust PAN of the Applicant AE45019

Sr. No.	PAN	Name	DIN / UID	Residential / Registered Address	Relationship with Applicant (i.e. promoters, whole time directors etc.)	Whether Politically Exposed	Photograph
1.	XXXXXXXXXX ACLP71528E	Anurag Basy		Address as per Trustee's address proof.	Trustee.	<input type="checkbox"/> PEP <input type="checkbox"/> RPEP <input checked="" type="checkbox"/> NO	
2	DC7PL7131B	Shikha Verma		' '	Trustee.	<input type="checkbox"/> PEP <input type="checkbox"/> RPEP <input checked="" type="checkbox"/> NO	
						<input type="checkbox"/> PEP <input type="checkbox"/> RPEP <input type="checkbox"/> NO	
						<input type="checkbox"/> PEP <input type="checkbox"/> RPEP <input type="checkbox"/> NO	
						<input type="checkbox"/> PEP <input type="checkbox"/> RPEP <input type="checkbox"/> NO	

Name & Signature of the Authorised Signatory(ies) Anurag

Date / /

PEP: Politically Exposed Person RPEP: Related to Politically Exposed Person



On letterhead of client

Date:

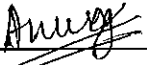
To:

Head – Custody Services
Kotak Mahindra Bank Limited
Kotak Infiniti, 6th Floor
Zone IV Building No. 21, Infinity Park
Off Western Express Highway
General A K Vaidya Marg, Malad (E)
Mumbai - 400 097

Sub: Address for communication for the depository and bank accounts opened with Kotak Mahindra Bank Limited.

Ref: Depository Account No. _____ and Bank account No. _____.

Signature



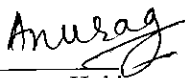
We draw your attention to our aforesaid depository and bank account opened in the name of Tanani Trust with Kotak Mahindra Bank Limited.

We also wish to inform you that we have entered into a Portfolio Management agreement with Marcellus Inv Managers Pvt Ltd (The Portfolio Manager) having its office at 602 Odyssey 1 Hirchandani Gardens to act as Portfolio Manager and the Portfolio Manger has also entered into a Custodial Services agreement with Kotak Mahindra Bank Limited having its office at Kotak Infiniti, 6th Floor, Zone IV Building No. 21, Infinity Park, Off Western Express Highway, General A K Vaidya Marg, Malad (E), Mumbai - 400 097 to act as Local Custodian in India to maintain the pool level custody account, bank account and depository account with the Local Custodian.

Pursuant to the Portfolio Management Agreement entered into between us and The Portfolio Manager I / We hereby instruct and authorize Kotak Mahindra Bank Limited to send all the reports / statements etc pertaining to the above mentioned depository and bank account directly to The Portfolio Manager acting in the capacity of our Attorney and that we would receive the complete necessary reporting from The Portfolio Manager.

I/ We request you to please carryout the instructions with immediate effect.

Thanking you,
Yours truly,


Account Holder



FATCA / CRS DECLARATION FOR NON-INDIVIDUAL ACCOUNTS

Note : The information in this section is being collected because of enhancements to Kotak Mahindra Bank Limited's new account on-boarding procedures in order to comply with Foreign Account Tax Compliance Act (FATCA) requirements pursuant to amendments made to Income-tax Act, 1961 read with Income-tax Rules, 1962:

http://www.incometaxindia.gov.in/dtaa/other%20agreements/india_iga_final-india_english.pdf

<http://www.oecd.org/ctp/exchange-of-tax-information/automatic-exchange-financial-account-information-common-reporting-standard.pdf>

Office / Bank use only

OPTY ID / SR Number : _____

FATCA / CRS declaration and details for entities

(We are unable to provide advice about your FATCA classification or interpretation of any terms.
Please therefore seek advice from a tax professional on any FATCA aspects)

Part A – Preliminary details (All fields mandatory)

Sr No	Particulars	Details of Applicant												
1.	Customer Relationship Number (CRN) of the entity <i>(if any)</i>													
2.	Name of the Entity	Janani Trust												
3.	Address for Tax Residence <i>(including city, state, country and pin code)</i>	4th Floor Mittal chambers Sayani Rd, Mumbai - 400056 Maharashtra												
4.	Address Type (Business or registered office)	Business												
5.	Entity Constitution Type. <i>(Refer instruction 6 in annexure)</i>	Trust												
6.	Do you satisfy any of the criteria mentioned below?													
	a. Is the entity a U.S. person <i>(Please refer 'other definitions' in the instructions)</i>	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> <i>(Please answer b) (Please go to c)</i>												
	b. Is the entity a Specified US Person	Yes <input type="checkbox"/> No <input type="checkbox"/> Entity's exemption code: _____ <i>(Refer instruction 5 in annexure)</i>												
	c. Is the entity formed/incorporated outside India	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> <<If yes, please specify city and country of incorporation/formation>>												
	d. Is the entity having Tax Residency in any country (ies) other than India	Yes <input type="checkbox"/> << If yes, please provide the following details>> No <input checked="" type="checkbox"/> <table border="1" style="width: 100%; margin-top: 5px;"> <thead> <tr> <th style="width: 33%;">Country of Tax residency</th> <th style="width: 33%;">Tax Identification No. / Functional equivalent of the foreign country</th> <th style="width: 33%;">Tax Identification document*</th> </tr> </thead> <tbody> <tr> <td> </td> <td> </td> <td> </td> </tr> <tr> <td> </td> <td> </td> <td> </td> </tr> <tr> <td> </td> <td> </td> <td> </td> </tr> </tbody> </table> <p style="font-size: small; margin-top: 5px;">*In case Tax Identification Number is not available, kindly provide functional equivalent or Company Identification Number or Global Entity Identification Number</p>	Country of Tax residency	Tax Identification No. / Functional equivalent of the foreign country	Tax Identification document*									
Country of Tax residency	Tax Identification No. / Functional equivalent of the foreign country	Tax Identification document*												
7.	Is the entity a Financial Institution (FI) (including an Foreign Financial Institution) (refer instruction 1 in annexure) Or A Direct Reporting NFFE <i>(Refer 'other definitions' in Annexure)</i>	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> <i>(Please fill Part B) (Go to next question)</i>												
8.	Is the entity a publicly traded corporation / a related entity of a publicly traded corporation / Active NFFE <i>(For clarification, refer instruction 3 in Annexure)</i>	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> <i>(Please fill Part C) (Please go to Part D)</i>												

Part B – If your answer to question 7 in Part A is a YES, please provide details in relation to Financial Institutions/ Foreign Financial Institutions or Direct Reporting NFFEs

Particulars	Details of applicant
The entity is: 1. Financial Institution <input type="checkbox"/> 2. Direct Reporting NFFE <input type="checkbox"/>	Global Intermediary Identification Number (GIIN) Note: If you do not have a GIIN but you are sponsored by another entity, please provide your sponsor's GIIN and name below: Name of sponsoring entity: _____ (For clarification, refer 'Other Definitions' in the Annexure) Sponsoring Entity's GIIN: _____ (If GIIN provided, please go directly to the declaration and acknowledgment)
If GIIN not available [tick any one]: (Not applicable to Direct Reporting NFFE)	a. Not obtained: <input type="checkbox"/> b. Applied for <input type="checkbox"/> [insert application date] c. GIIN not required <input type="checkbox"/> Please specify reasons: _____ Please insert code: _____ (Mention Code – For clarification, refer instruction 2 in Annexure) (Please go to Declaration and Acknowledgment)

Part C – If your answer to question 8 in Part A is a YES, please provide following details:

Sr No	Particulars	Details of applicant
1.	a. Are you a publicly traded company? (Refer 'instruction 3' in the annexure)	Yes <input type="checkbox"/> No <input type="checkbox"/> [Please fill C1(b)] (Please fill C2)
	b. Are your shares regularly traded on a recognized stock exchange	Yes <input type="checkbox"/> No <input type="checkbox"/> (Please fill C2) If yes, please provide name of the stock exchange where the shares are regularly traded: 1. _____ 2. _____ (Please go to Declaration and Acknowledgment)
2.	Are you a related entity of a listed company mentioned in Part C (1) above # Related entity – An entity is a related entity of another entity if either entity controls the other entity or the two entities are under common control	Yes <input type="checkbox"/> No <input type="checkbox"/> Nature of relation with the related entity: (Please fill C3) <input type="checkbox"/> Subsidiary of the listed company <input type="checkbox"/> Controlled by a listed company If yes, please provide name of the related entity that is listed : _____ Name of the stock exchange where the shares of the related listed entity are regularly traded: 1. _____ (Please go to Declaration and Acknowledgment)
3.	Entity is an Active NFFE	Please specify nature of business _____ Category: _____ (Refer codes in Instruction 3) (Please go to Declaration and Acknowledgment)

Part D – If your answer to question 8 in Part A is a NO, please provide following details:

Entity is an Passive NFFE:
 (other than Direct reporting NFFE)

Please specify nature of business: _____

Provide details of all UBO/s or Controlling person/s, [natural persons as per PMLA] (including Owner Documented FFI's [For clarification, refer 'Other Definitions' in the Annexure]) in the table below

Are you an Owner-documented FFI's - Yes No

If 'Yes', in addition to the below details, please provide a duly filled form WBBEN E along with FFI Owner Reporting Statement and Auditor's Letter.

If 'No', Please provide below details only.

Name of UBO	Country of Tax residency	Residence address for tax purposes	Fathers name (If PAN not available)	Tax identification number or equivalent & Tax identification/ functional equivalent document	Identification document: Passport/ PAN etc.	City and Country of Birth	UBO Code (For clarification, refer instruction 7 in the Annexure)

Note: In case of a multiple intermediaries, please provide the shareholding / controlling structure of each such intermediary/ies.

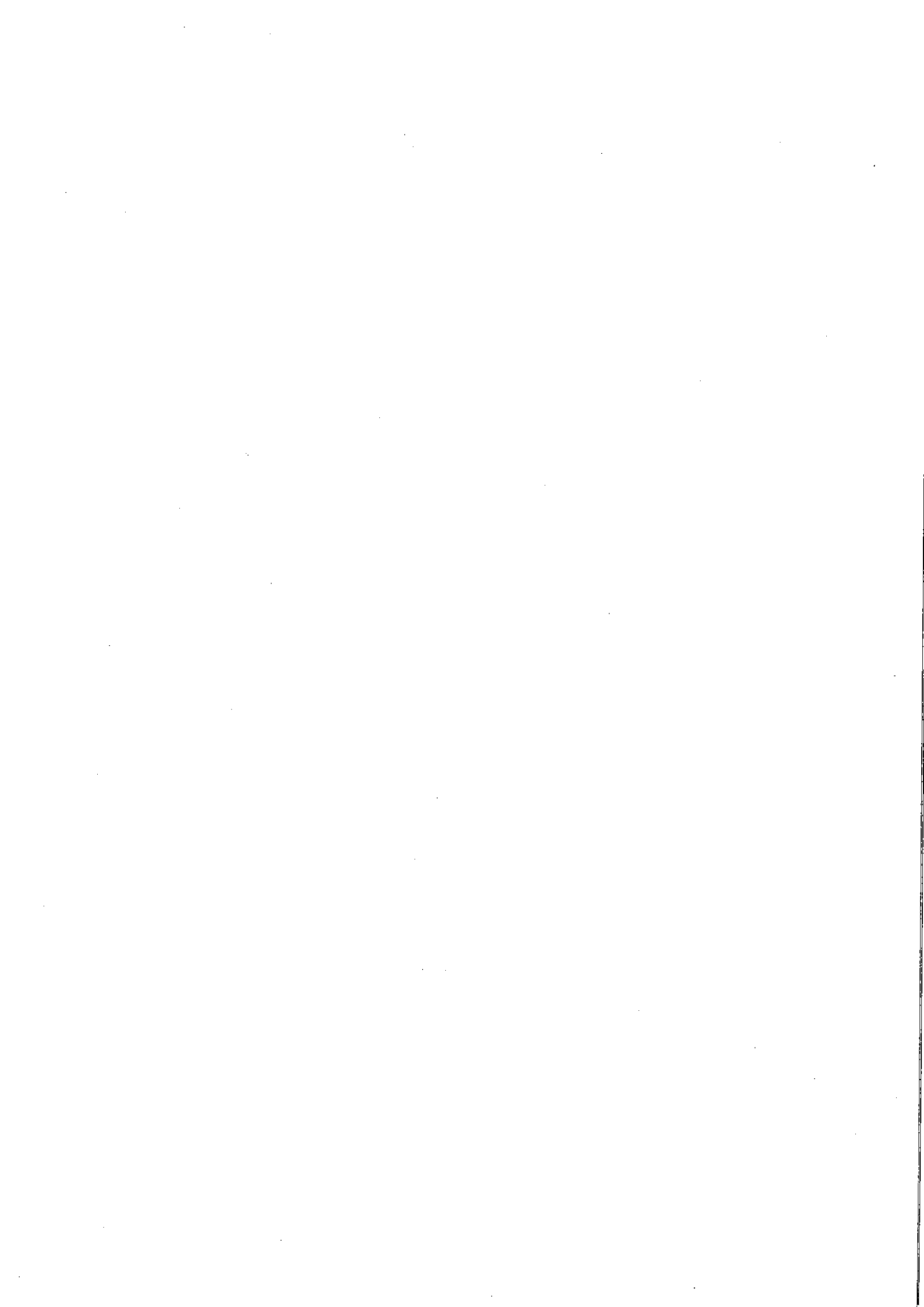
- A. If any of the UBO is a resident / citizen of 'other than India' or citizen / tax resident / green card holder of country, please provide Taxpayer ID number or equivalent / Social Security Number [SSN]
- B. Submit documentary proof like shareholding pattern duly self-attested by Authorized Signatory / Company Secretary
- C. If number of UBOs are greater than 4 or the space required is insufficient, information in the given format can be given in additional sheets

Please also fill the Beneficial Owner Customer Relation Form for the UBOs

Declaration & Acknowledgement	<p>I/We _____ being the beneficial owner of the account opened / to be opened with Kotak Mahindra Bank Limited and the income credited therein declare that the above information and information in the submitted documents to be true, correct and updated, and the submitted documents are genuine and duly executed</p> <p>I/We acknowledge that towards compliance with tax information sharing laws, such as FATCA / CRS, the Bank may be required to seek additional personal, tax and beneficial owner information and certain certifications and documentation from the account holder. Such information may be sought either at the time of account opening or any time subsequently. In certain circumstances (including if the Bank does not receive a valid self-certification from me) the Bank may be obliged to share information on my account with relevant tax authorities. Should there be any change in any information provided by me, I ensure that I will intimate the Bank promptly, i.e., within 30 days.</p> <p>Towards compliance with such laws, the Bank may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto. As may be required by domestic or overseas regulators/ tax authorities, the Bank may also be constrained to withhold and pay out any sums from my account or close or suspend my account(s).</p>
Customer / Authorized person Signature & Designation	
Date	



Bank use section

Signature Verified by	<input type="text" value="Sign & Emp Code"/>	Receiver's Stamp	<input type="text"/>
Documents sent to CPC/RPC on	<input type="text" value="DDMMYYYY"/>	Authorized by	<input type="text"/>
Form Type Submitted – W-8 BENE	<input type="text"/>		



On letterhead of Company

List of Authorized Signatories

Name	Email ID	Specimen Signature	Photograph
Anurag Basu	anurag@gmail.com		
Shikha Verma	shikha@yahoo.co.in		

For Janani Trust

(Trustee)



On Letterhead of Trust

Date:

Extracts of the minutes of the meeting of Board of Trustees of Janani Trust held at 4th Flr Mittal Chambers on _____

Resolved

THAT Kotak Mahindra Bank Limited ("KMBL") be appointed as Custodian ("The Custodian") for safekeeping securities held by the Trust in depository account opened with Kotak Mahindra Bank Limited ("KMBL") and for providing other Custodial Services to the Trust. A trust established under the provision of Indian Trust Act settled by (Settlor name to be mentioned)

THAT Depository account in the name of (name of Trustees) (as Trustees) be opened with KMBL.

THAT Bank account in the name of Janani Trust be opened with KMBL.

AND THAT the Trust hereby authorizes following person as its Authorized Signatory to open the said Depository and Bank accounts:

Sr. No	Name	Designation
1	Anurag Basu	Trustee
2	Shikha Verma	Trustee

AND THAT the Mode of the signing be

Severally by any one of the above Authorized signatories

OR

Jointly by any two of the Authorized signatories.

AND THAT the authorized signatories in the above stated mode is/are hereby authorized to do all acts and deeds including signing of the Power of Attorney and the necessary forms and documents to open the Custody, Depository and Bank accounts with KMBL. The signatories are also authorized to sign any other document that may be required by KMBL to effectively discharge its duties as the Custodian.

AND THAT the Trust hereby appoints / authorizes Marcellus through its authorized representatives to operate the above mentioned accounts and to do all acts, deeds and things necessary for operation of the above mentioned accounts from time to time.

AND THAT the authorized representatives of Marcellus whose names are provided by Marcellus to KMBL from time to time be and are hereby authorized to send instructions pertaining to the confirmation of trades, trade amendments, remittance of funds and all other dealings with the Bank and other intermediaries on behalf of the Trust in respect of the arrangements it has with them.

Anurag

Shikha

Salman (Non operating trustee, if any)

On Letterhead of Trust

Note: The extract of resolution should be certified to be as true by the company secretary and the same should be on Company letterhead.

* (strike off whichever is not applicable)